



AMERICAN INVESTORS GROUP, INC.  
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## **OUR PRIVACY PROMISE TO YOU**

Pursuant to the Federal Privacy Law (Gramm, Leach, Bliley) and the Securities and Exchange Commission's Regulation S-P this notice describes our privacy policy to you. Because American Investors Group, Inc. respects your right to privacy, we have always placed a high priority on protecting the information you provide to us. We collect information from our customers for legitimate business purposes and our Privacy Policy states that:

**“We do not sell or share customer information to anyone, internally or externally, for any purpose other than was originally intended when the customer established their account with us.”**

It is our commitment to always meet the highest legal and ethical standards in the conduct of our business. We will always work to meet both the letter and the intent of the law in all matters surrounding customer privacy issues.

During our relationship with you, we have and will continue to gather information considered “nonpublic,” but which is required for us as a securities broker/dealer to help determine that investments you make with our firm are suitable and meet your investment objectives. All information we collect about you and your account is deemed confidential and will not be disclosed except under the following circumstances.

1. We engage third party independent trustees for our bond issue underwritings. We are very selective in whom we choose to be trustee for our bond issues. We are required to provide personal information such as your social security number and mailing address to enable them to conduct their duties. Therefore, we require the trustees for our bond issues to subscribe to our strict standard of never releasing, selling or otherwise disseminating any of our customers' information to any other party.
2. The information is required to complete a transaction for your account or on your behalf.
3. We may disclose personal information in those rare circumstances where we conclude that its disclosure required by law in such cases as: complying with regulators' examinations, audits by our independent certified public accounts, legal subpoenas, IRS or other government requests for information and investigations regarding illegal or fraudulent activity.

Other than the exceptions shown above, we will not share your personal information with third parties unless you have specifically asked us to do so.

We maintain policies and practices that protect the security and confidentiality of our customers' information.

1. Access to customer records is restricted to employees deemed to have a need for the information to perform their job duties.
2. Maintenance of protection of information through security-enhancing software, such as virus and intrusion detection software which includes password and restricted access to our database records.
3. Physical security of our building.

If American Investors Group, Inc. should ever elect to disclose your information in any way that may otherwise violate this policy, we will advise you in advance of the change and afford you the opportunity to elect not to allow us to disclose your information.

If you have any questions or concerns about our Privacy Policy please contact us at the number listed above.